

Best Practices for NWP: The Nexus of Satellite Observations and Initial Conditions

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Basic Premise of NWP

- * **Numerical weather prediction (NWP) is an initial-value problem.**
- * The following constrain the accuracy of numerical weather prediction solutions:
 - * Parameterizations and approximations within the model
 - * Atmospheric features occurring on scales smaller than resolved by the model
 - * *Limited observations to populate the initial analysis (especially in the “upper air” and over oceans)*
 - * Quality, precision, and accuracy of the observations
 - * Boundary conditions and domain size

Précis

- * Through satellite data assimilation, this presentation investigates how subtle changes to land/sea surface properties and moisture content in the boundary layer (and other levels of the troposphere) alter the solution of mesoscale and synoptic-scale modeled weather phenomena and associated precipitation
- * Two cases will be discussed:
 - * December 2009 Winter Storm/Blizzard
 - * August 2010 Central US Frontal Passage

Information Extracted from Satellites for Numerical Weather Prediction

Radiances

- Direct assimilation (3Dvar)
- Requires model errors, observation errors
- Scale dependence
- Surface type restrictions

Retrieved parameters

- Dependent variable assimilation (1,3Dvar)
- Requires model errors, *retrieval errors*
- Physical accuracy, non-linearity
- Bypass surface type restrictions

Motion

- Cloud track, water vapor track
- Height assignment errors
- Radiance tracking (4Dvar)

The CIMSS Regional Assimilation System (CRAS) is used to assess the impact of space-based observations on numerical forecast accuracy.

CRAS is unique in that, since 1996, it's development was guided by validating forecasts using information from GOES.

Output online:
<http://cimss.ssec.wisc.edu/cras/>



Using the CRAS in a sensitivity study

Do the Great Lakes “attract” mid-latitude cyclones?

Can remote sensing improve marine weather forecasts?

**The Great Lakes Pneumonia Front: A New Study of Land-Sea
Interactions**

Talk, National Weather Association Annual Meeting—General Session
Marine (Norfolk, Virginia)

October 22, 2009



Motivation for Research

- * There are abundant studies of lake-effect snowstorms, but limited literature on the interactions between the Great Lakes and regional scale disturbances
- * There are a few studies which have examined the influence of the Great Lakes on synoptic scale cyclones, as well as some intensive studies of wintertime boundary layer dynamic and thermodynamic process exchanges with sub-synoptic scale features (Danard, 1972)
- * In-situ observations of marine boundary layer properties are limited, remote sensing can help
- * Do only extreme surface heterogeneities matter? What kind of exchanges occur between the water surface and boundary layer? Do any of these exchanges impact the synoptic scale?
- * Cox (1917) suggested that the combined heating from the Great Lakes “attracted” synoptic scale toward the Midwest and increase in intensity
- * Calabrese (1959) found Great Lakes collectively reduce sea level pressure (SLP) by 6-7 hPa. SLP reductions of 5 hPa were confirmed by numerical studies from Danard and Boudra (1981).

Theory

Zwack-Okossi Equation

(Simplified form of Vasilj and Smith, 1997)

- * Chuang (2003) attempted to determine the effects of idealized warm lakes on flow regimes, motivated by storms moving across Great Lakes in the fall and winter
- * The Zwack-Okossi (ZO) equation was applied to simulation results to determine the physical processes altered by the Great Lakes to enhance cyclone development
- * Results indicated that an idealized lake aggregate reduced local surface pressure through surface sensible heating, and found that the warm lake air encouraged large-scale surface pressure deepening by enhancing the surface warm front

Time derivative of geostrophic vorticity $\frac{\partial \xi_{gl}}{\partial t} = \frac{1}{\rho f} \nabla^2 \frac{\partial p_s}{\partial t}$.

ZO surface pressure tendency equation

$$\frac{\partial \xi_{gl}}{\partial t} = \text{Pd} \int_{p_t}^{p_l} -\mathbf{V} \cdot \nabla \xi_{\alpha} dp + \text{Pd} \int_{p_t}^{p_l} \mathbf{k} \cdot \nabla \times F dp - \text{Pd} \int_{p_t}^{p_l} \left[\frac{R}{f} \int_p^{p_l} \nabla^2 (-\mathbf{V} \cdot \nabla T + \dot{Q} + S\omega) \frac{dp}{P} \right] dp, \quad (1)$$

Thermal forcing:

Temperature advection

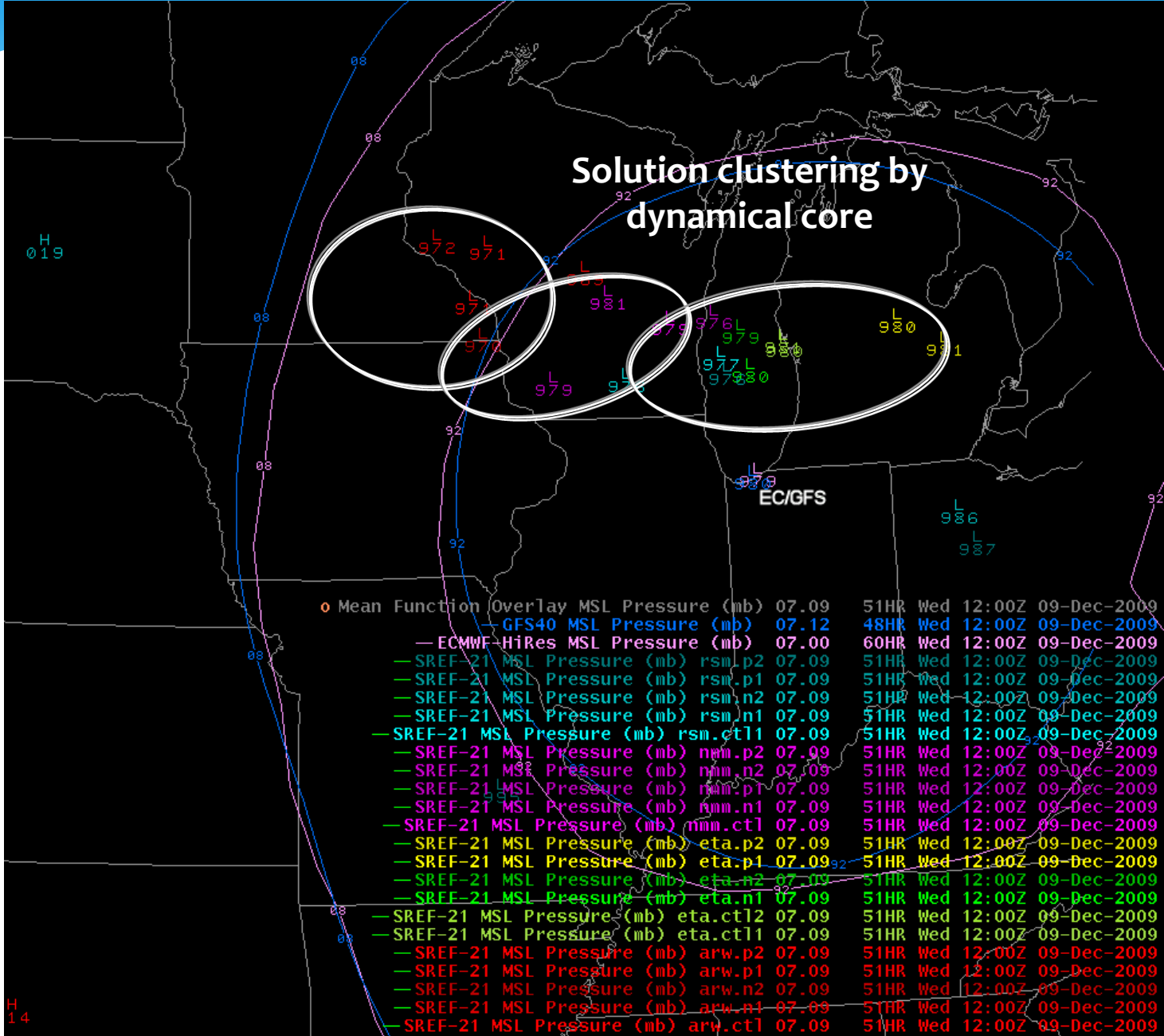
Diabatic heating

Adiabatic heating

8-9 December 2009

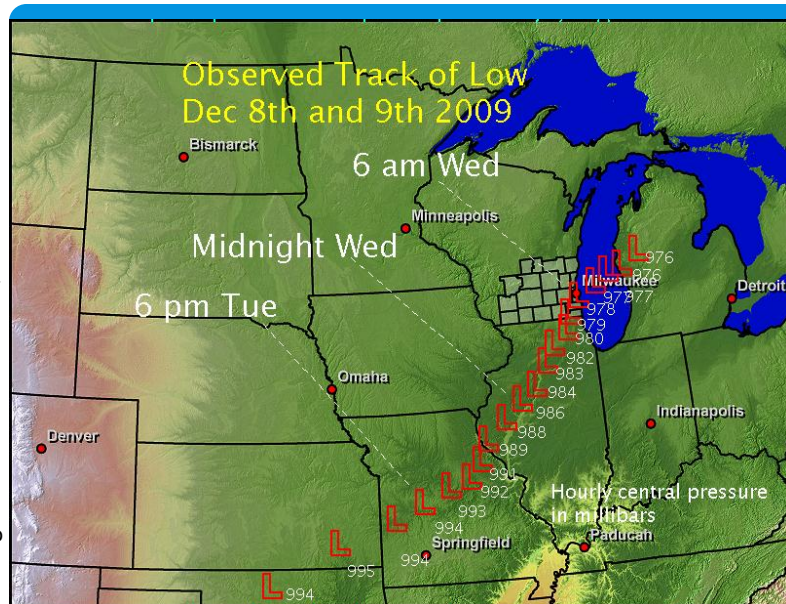
- * Winter storm of 8-9 December 2009 led to discussion about the influence of the Great Lakes on development of large-scale synoptic low pressure systems.
- * Despite a unfavorable horizontal and vertical scale comparison between the Great Lakes and these winter storms, theories continue to circulate regarding the draw of the Great Lakes on synoptic storm tracks, particularly during the fall and early winter.
- * *Does the decreased friction or increased heat flux in the boundary layer over the Great Lakes really drive the development and track of strong winter storms?*
- * *Is the perceived northward trend with consecutive model runs ($dprog/dt$) a function of model performance or a lack of sensitivity to Great Lakes air-sea interactions?*
- * “All lows go to Chicago.” (Joe Shipps, 1993)

Solution clustering by dynamical core



o Mean Function	Overlay MSL Pressure (mb)	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	GFS40 MSL Pressure (mb)	07.12	48HR	Wed	12:00Z	09-Dec-2009
-	ECMWF-Hires MSL Pressure (mb)	07.00	60HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) rsm.p2	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) rsm.p1	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) rsm.n2	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) rsm.n1	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) rsm.ct11	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) rmm.p2	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) rmm.n2	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) rmm.p1	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) rmm.n1	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) rmm.ct1	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) eta.p2	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) eta.p1	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) eta.n2	07.09	51HR	Wed	12:00Z	09-Dec-2009
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-	SREF-21 MSL Pressure (mb) eta.ct12	07.09	51HR	Wed	12:00Z	09-Dec-2009
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-	SREF-21 MSL Pressure (mb) arw.n1	07.09	51HR	Wed	12:00Z	09-Dec-2009
-	SREF-21 MSL Pressure (mb) arw.ct1	07.09	51HR	Wed	12:00Z	09-Dec-2009

Image credit:
Dan Baumgardt,
NOAA/NWS ARX



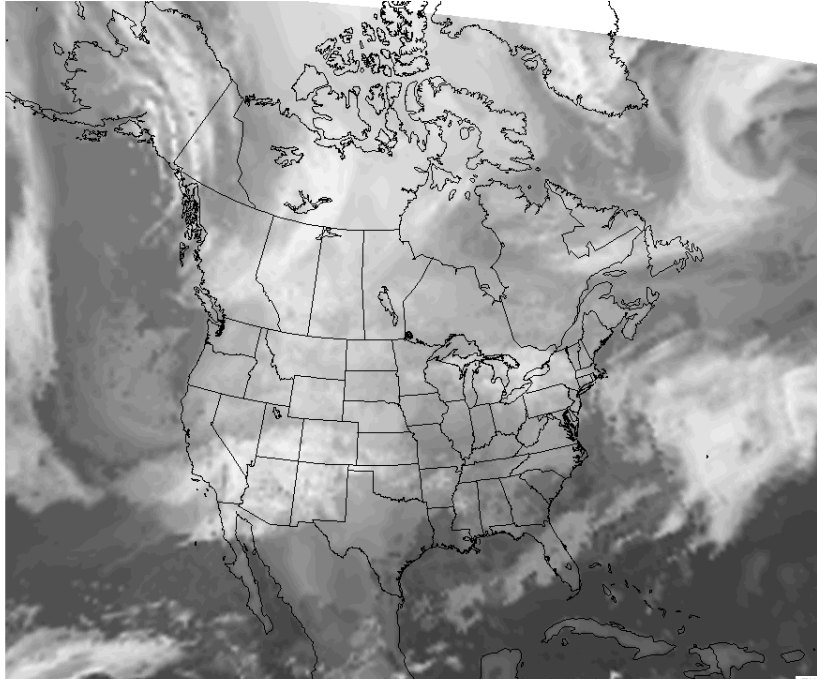
Experiment Design

- * CRAS model initialized on December 7, 2009, 12:00 UTC, using GFS boundary conditions and GFS initial conditions with GOES Sounder assimilated in a 12-hour spin-up.
- * CRAS chosen for experiment due to moisture correction, control performance, and surface low development on northern end of track envelope consisting of operational models (over Lake Michigan instead of Lower Michigan).
- * Spatial model resolution of 45 kilometers chosen in order to assess impact of Great Lakes on the synoptic scale.
- * Control run: Sea surface (skin) temperatures based on observations of roughly 4 degrees Celsius (39 degrees Fahrenheit). The skin temperature does not change during the simulation.
- * Ice run: Model water grid points of the Great Lakes changed to ice.
- * Hot run: Sea surface temperature of Great Lakes increased systematically 5 K from the observation.

Results of CRAS “Ice” Run



091207/1200V000 CRAS SIMULATED IR WINDOW BRIGHTNESS TEMP K



12/07/2009 12UTC 000HR FCST VALID MON 12/07/2009 12UTC CIMSS/ORA/NESDIS/NOAA

EXPERIMENTAL

091207/1200V000 CRAS 3-HR SFC SNOW ACCUM (BLUE), SLEET (CYAN), FRZNG RAIN (GOLD), LIQ RAIN (GREEN) IN INCHES



12/07/2009 12UTC 000HR FCST VALID MON 12/07/2009 12UTC CIMSS/ORA/NESDIS/NOAA

EXPERIMENTAL

3-hourly Simulated Infrared Window

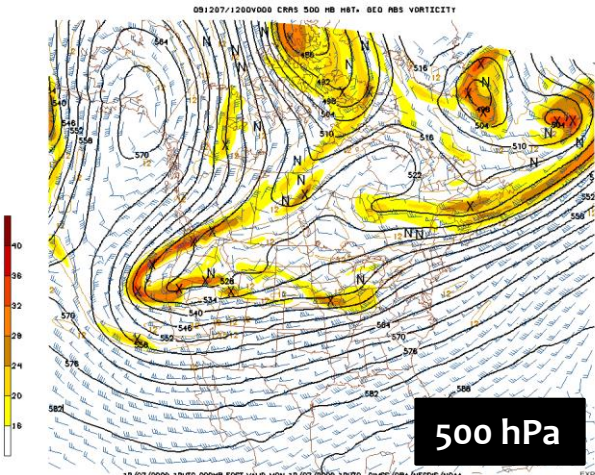
11 micron brightness temperature

Loop duration: 60 hours

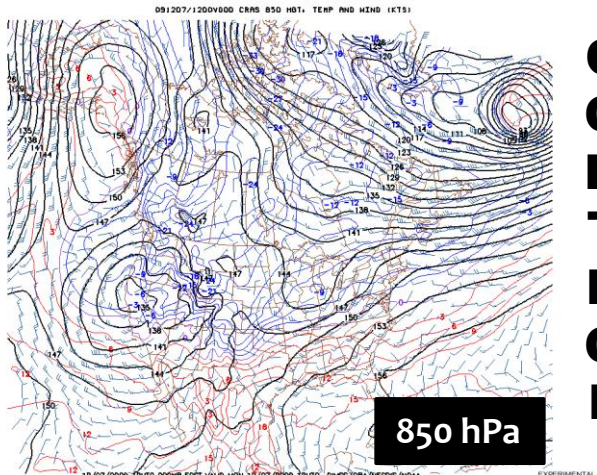
3-hourly Accumulated Precipitation

Snow (blue), sleet (cyan), freezing rain (yellow), and liquid rain (green) included

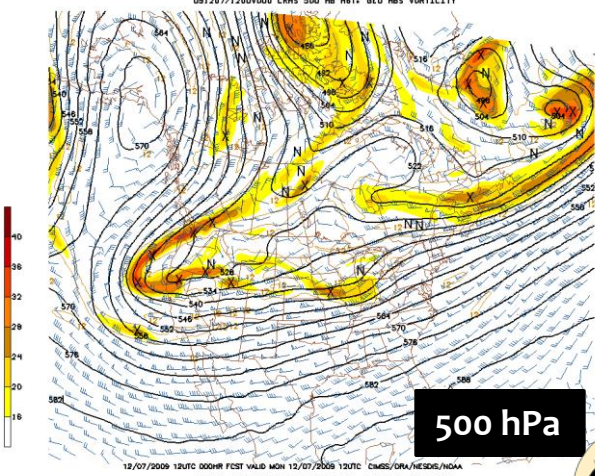
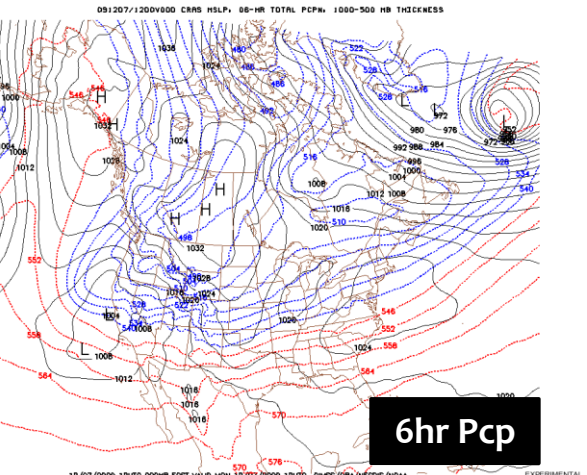
Diagnosed from model thermal profile



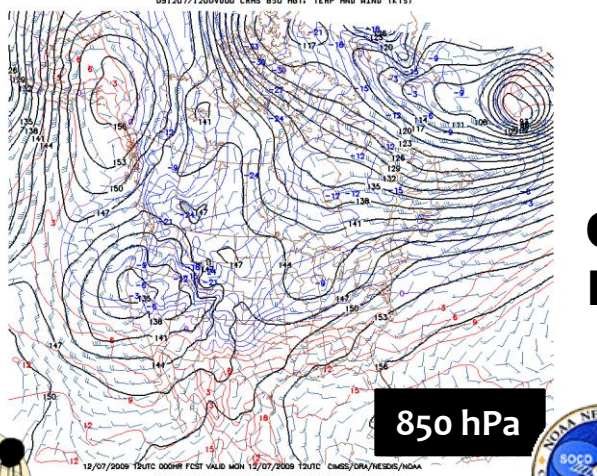
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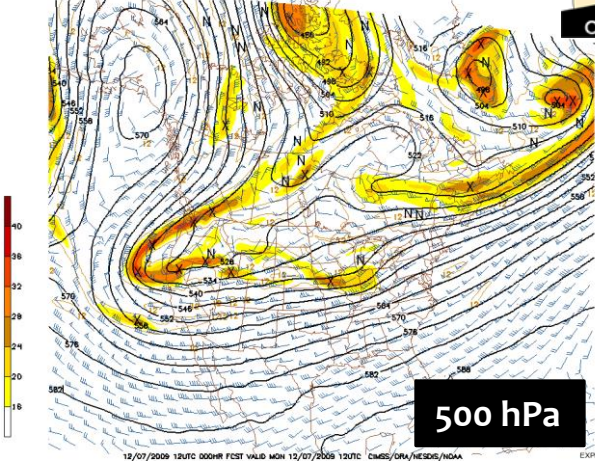
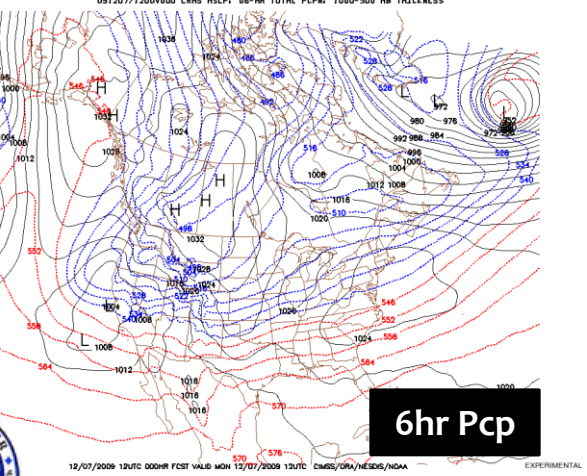
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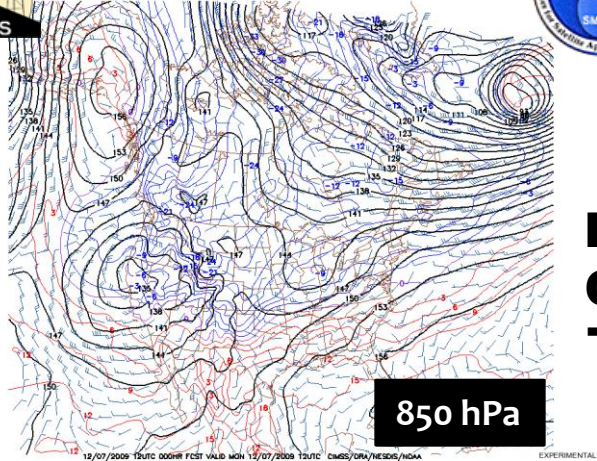
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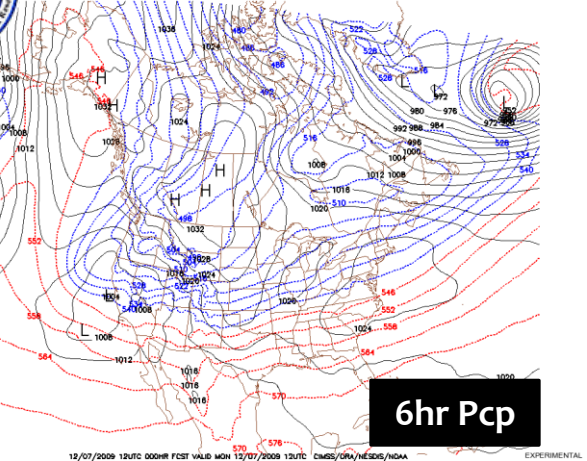
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Some Thoughts on the Results

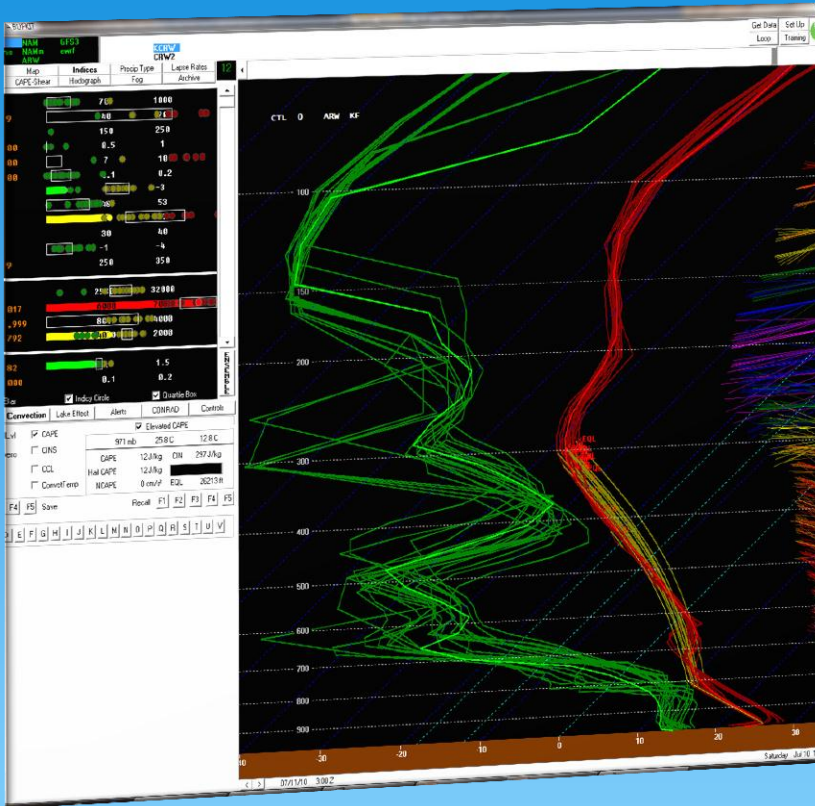
- * In this case, the change in the skin temperature (heat flux) did not meaningfully or significantly alter the track or development of the low pressure system.
- * Some minor differences in the precipitation amounts likely resulted from a mesoscale contribution (lake enhancement processes).
- * With systems of this spatial scale, advecting significant amounts of moisture, the Great Lakes do not change the evolution in theory. Extremely anomalous and persistent temperature differences between the Great Lakes skin temperature and the air temperature may result in a synoptic adjustment for systems with a smaller radius of influence (Northwest flow clippers, perhaps).

Transitioning to the WRF

- * The most recent advances in numerical weather prediction have come with the advent and widespread distribution to the Weather Research and Forecast (WRF) model to both the field and academia.
- * The WRF has two dynamical cores (ARW/NCAR and NMM/NCEP), one with customizable physics, and a 3-dimensional variational (3DVAR) assimilation system. The goal is flexibility and extensibility.
- * The WRF model has recently grown as an effective and easy-to-use local real-time modeling tool at National Weather Service forecast offices through the Environmental Modeling System (EMS) project.
- * Important to the success of these modeling efforts is accurately resolving mesoscale phenomena (traditionally moist convective systems) on dense grid scales.

More moisture, more problems

Using the WRF in a sensitivity study

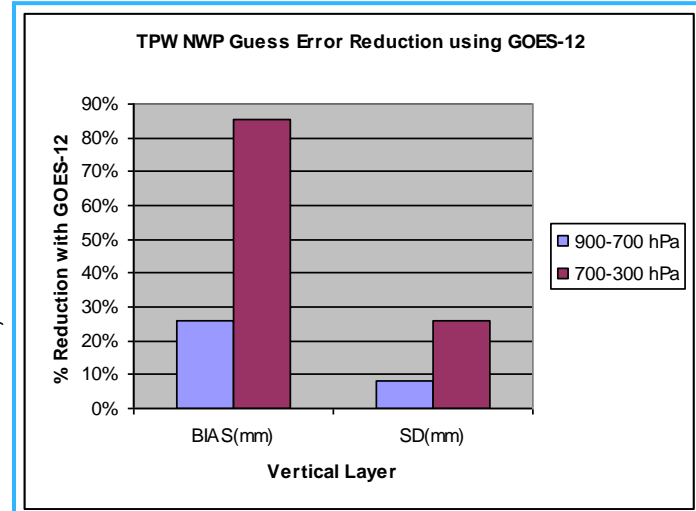


Increased use of ensemble models has emphasized the limited grasp of our initial conditions on tropospheric moisture content.

Interfacing CRAS and WRF

- * Lack of consistent, statistical verification efforts to validate CRAS output, in combination with an aging dynamical core and desire to use high-resolution output, has hampered expansion
- * Assimilating GOES Sounder retrievals is still a valuable exercise, and particularly important for improving forecast accuracy on dense grids
- * Work is underway to combine the CRAS assimilation code with the WRF front-end to produce initial conditions on a comparable scale to the model grid
- * Boundary conditions will eventually drive solution if run duration is sufficiently long (depends on grid dimensions)
 - * Use CRAS for lateral forcings?

Specific Objective:
Expand the benefits of valuable moisture information contained in GOES Sounder Derived Product Images (DPI)



Robert Aune, NOAA/NESDIS

Assimilating GOES Sounder in CRAS

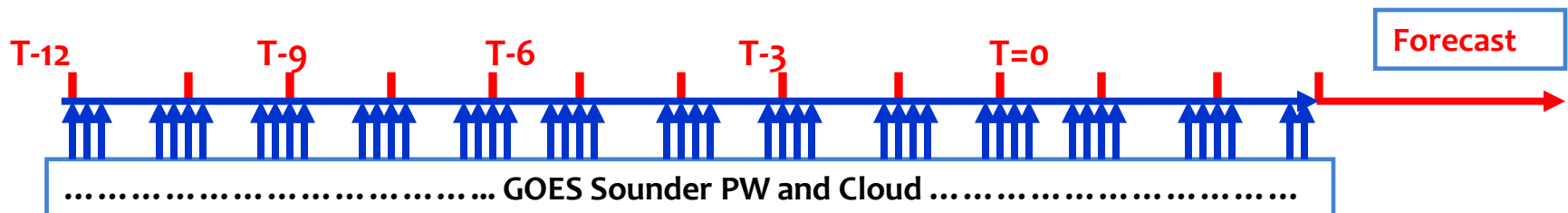
Cloud-top pressure and effective cloud amount are used adjust cloud water mixing ratio in the model. Cloud checks are performed for low, high, and multi-layer clouds.

<u>Background</u>	<u>GOES</u>	<u>Operation</u>
Clear	Clear	Do nothing (check RH)
Cloudy	Cloudy	Adjust cloud, RH, match top (up to two layers)
Cloudy	Clear	Clear cloud, adjust RH
Clear	Cloudy	Build new cloud, adjust RH

Water Vapor Adjustments using GOES 3-Layer Precipitable Water Retrievals.

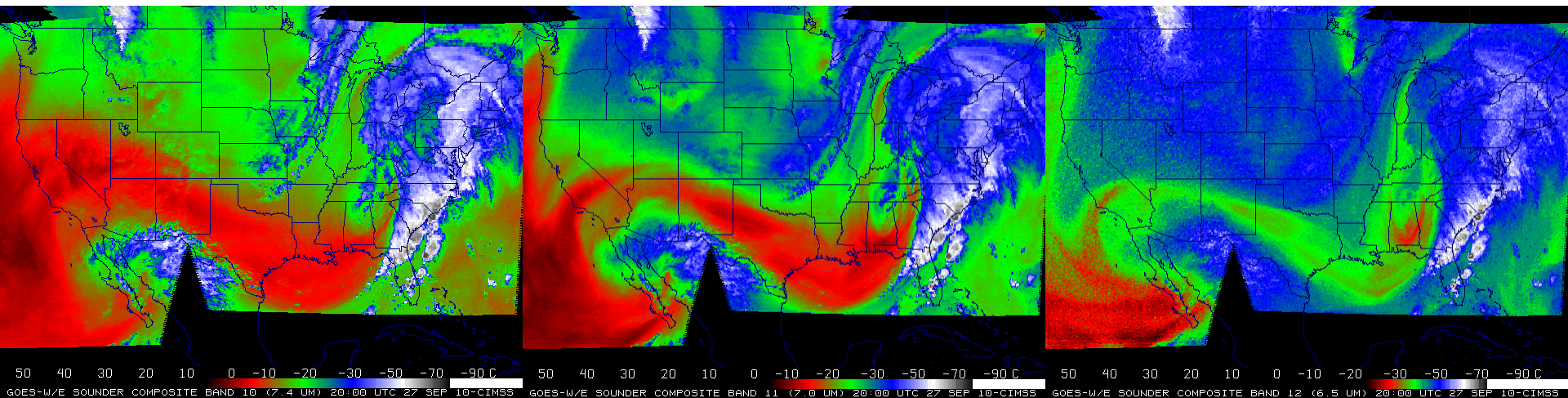
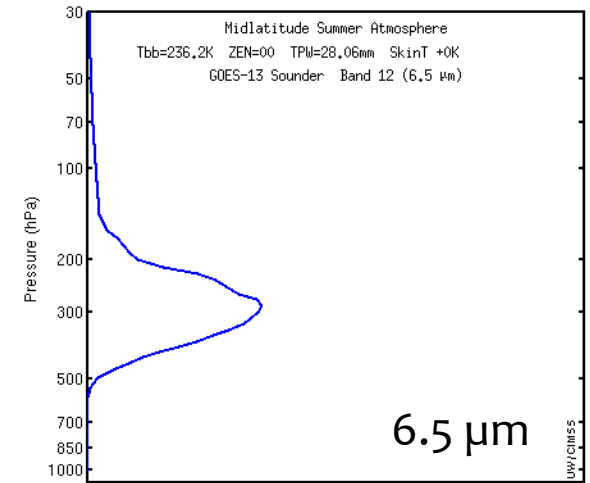
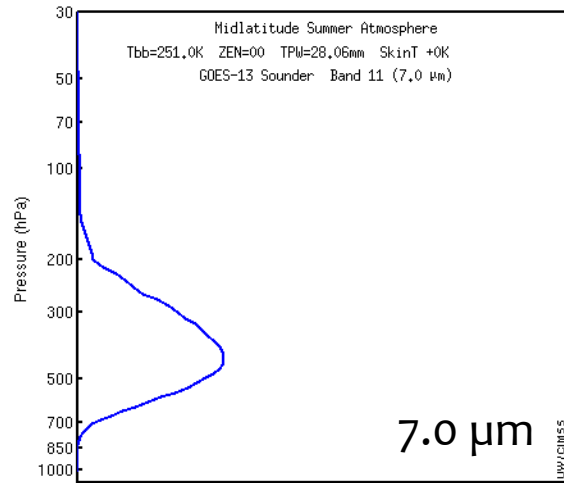
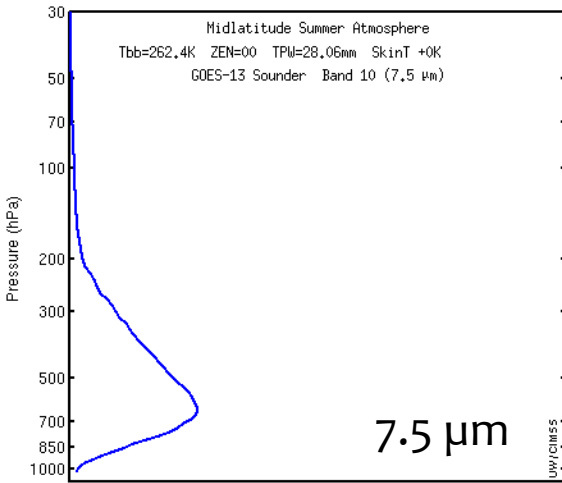
- 1) Mean background mixing ratio profile is computed.
- 2) Perturbations are removed.
- 3) Mean profile is adjusted to match GOES 3-layer PW using 1D var (strong constraint).
- 4) Perturbations are added to adjusted profile.
- 5) RH profile checked for “clearness”.

A 12-hour spin-up forecast is used to initialize water vapor and clouds.



Current GOES-13 Sounder Weighting Functions

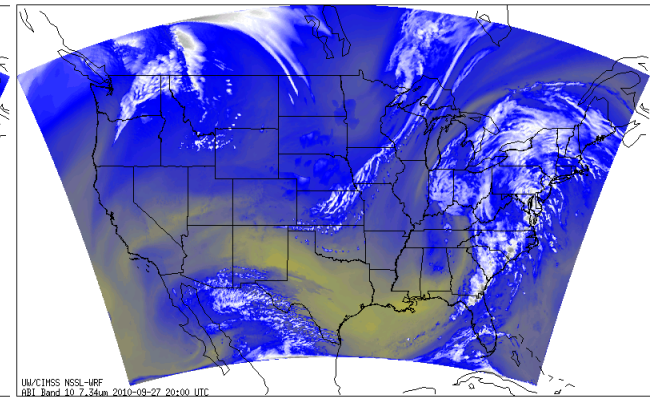
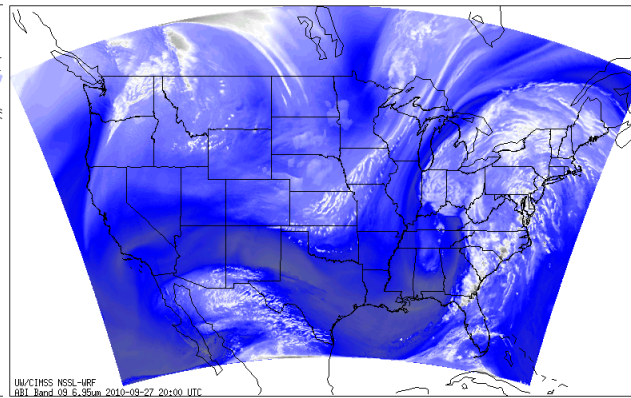
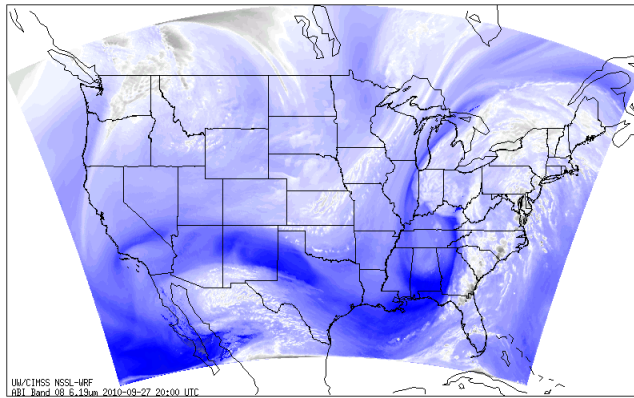
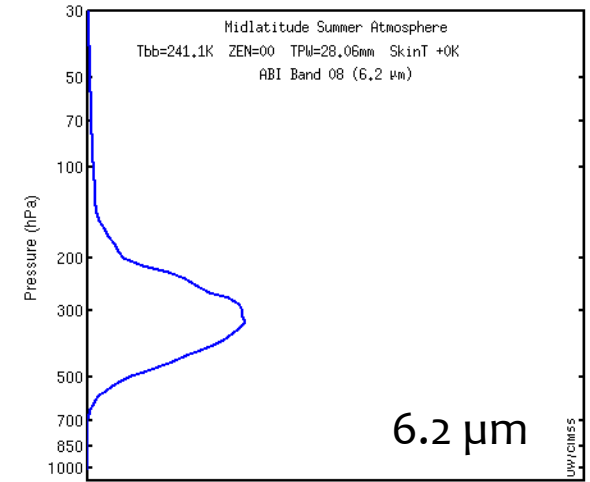
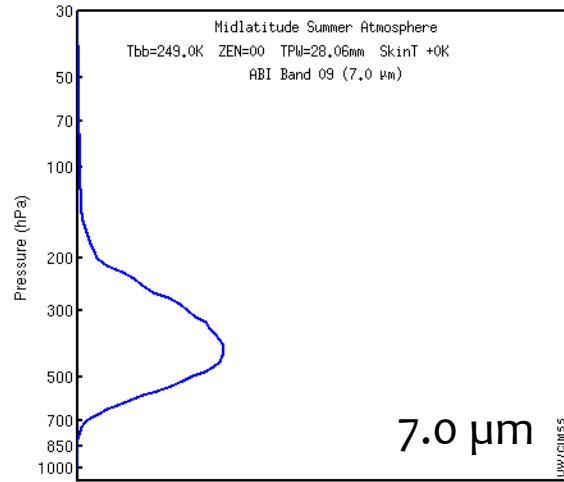
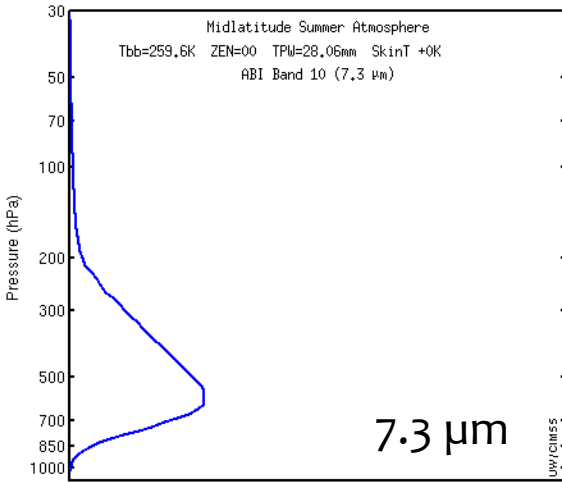
Geostationary satellites can provide information of mid-level water vapor.



Plots courtesy of Mat Gunshor, CIMSS

GOES-R ABI Weighting Functions

This capability will continue in the GOES-R era.



Simulated imagery

Experiment Design

Objective: Understand NWP response to variable moisture concentrations.

**Approximate
change in mixing
ratio from GFS
initial conditions**

$$\Delta w_{EA} = 0.23 \text{ g/kg}$$

$$\Delta w_{LYR} = 1.25 \text{ g/kg}$$

$$\Delta w_{LYR} = 0.30 \text{ g/kg}$$

$$\Delta w_{LYR} = 0.01 \text{ g/kg}$$

Six simulations:

GFS Initial Conditions
CRAS Initial Conditions
GFS Initial Conditions with 90% of Original RH
GFS Initial Conditions with 90% of Original Relative Humidity at and below 800 hPa
GFS Initial Conditions with 90% of Original Relative Humidity between 400 and 750 hPa
GFS Initial Conditions with 90% of Original Relative Humidity between 100 and 350 hPa

Each simulation shared the same:

- * Adaptive time step
- * 20 km spacing on 100 x 100 square grid consisting of 45 vertical levels
- * 100 hPa top of model
- * Model start at 31 August 2010 at 00:00 UTC
- * 36-hour length with a boundary update every three hours

Dynamics	Non-Hydrostatic
Cumulus Scheme	Kain-Fritsch
Microphysics Scheme	WSM Single-Moment 5-Class
PBL Scheme	Yonsei University
Land Surface Scheme	5-Layer Thermal Diffusion LSM
Surface Layer Physics	Monin-Obukhov with heat and moisture surface fluxes
Long Wave Radiation	RRTM
Short Wave Radiation	Dudhia Scheme
Time-Integration Scheme	Runge-Kutta 3 rd Order
Damping	Rayleigh

Comparison of 2m Temperature, 10m Wind

Initialized: 31 August 2010, 00 UTC

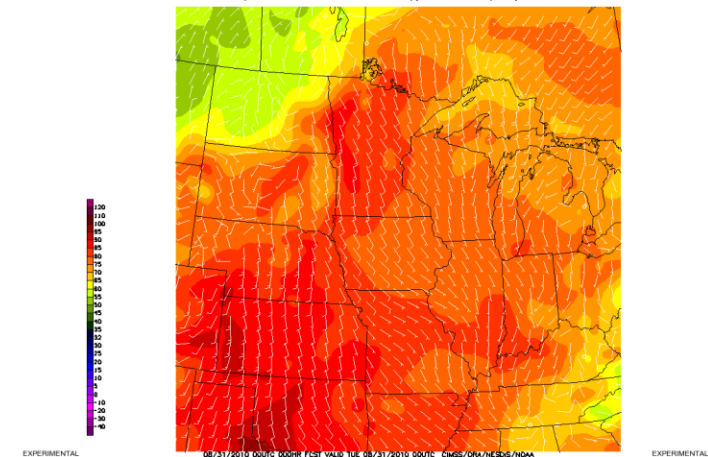
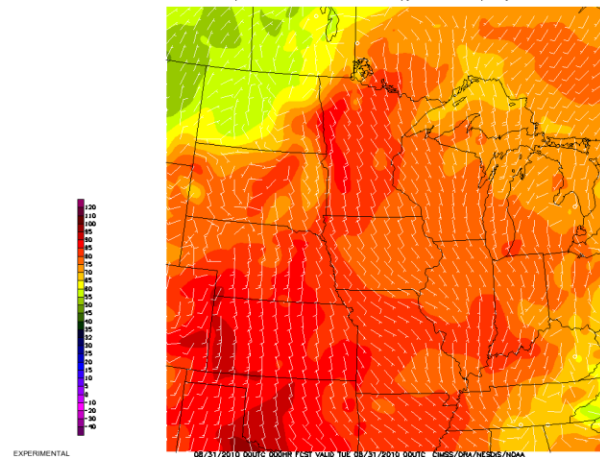
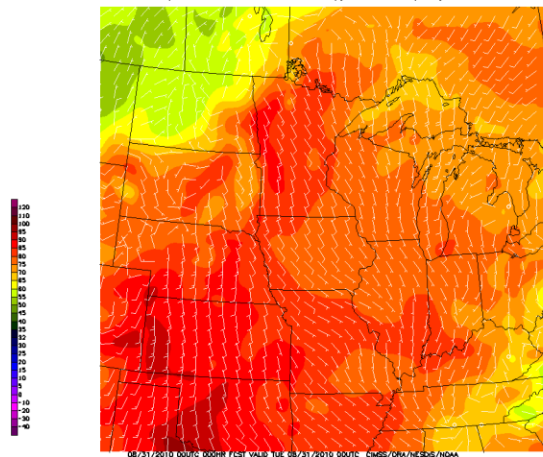
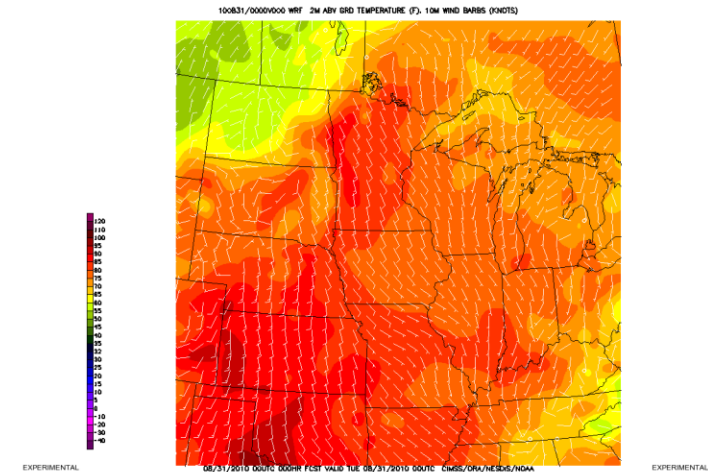
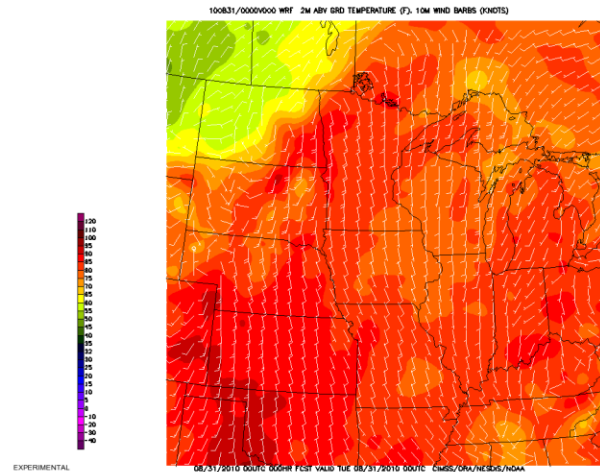
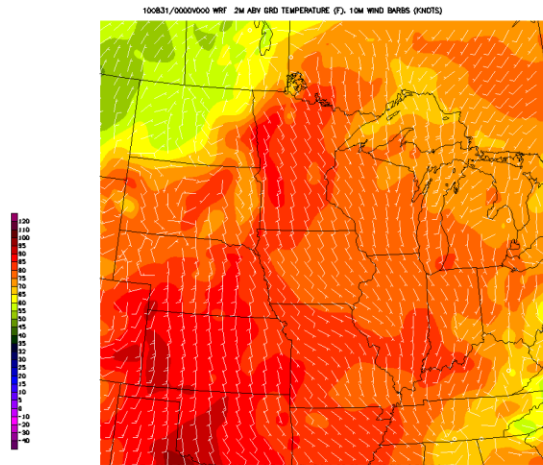
Interval: 3 hourly

Duration: 36 hours

GFS Initial Conditions

CRAS Initial Conditions

GFS Initial Conditions with 90% of Original RH



GFS Initial Conditions with 90% of Original Relative Humidity at and below 800 hPa

GFS Initial Conditions with 90% of Original Relative Humidity between 400 and 750 hPa

GFS Initial Conditions with 90% of Original Relative Humidity between 100 and 350 hPa

Comparison of Total Precipitable Water (Entire Atmosphere)

Initialized: 31 August 2010, 00 UTC

Interval: 3 hourly

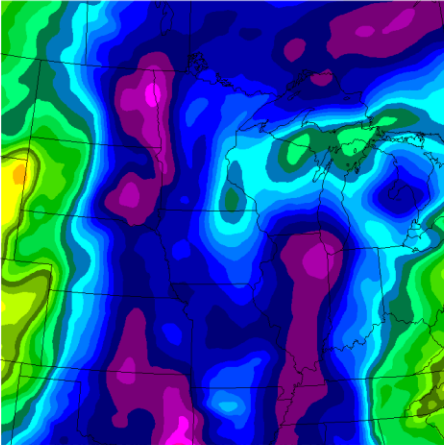
Duration: 36 hours

GFS Initial Conditions

CRAS Initial Conditions

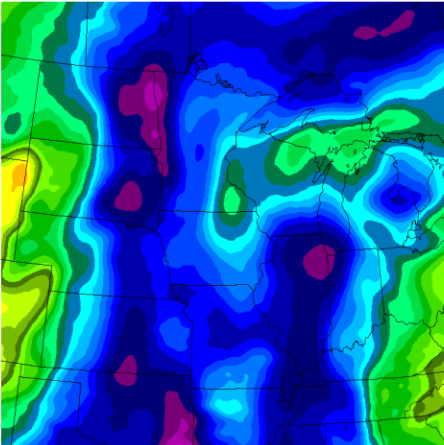
GFS Initial Conditions with 90% of Original RH

100831/00000000 WRF SFC TOTAL PRECIPITABLE WTR (MM) WITH PL BNDRY LYR RH >=70 (BROAD), >=90 (TIGHT), >=99 (DOTS) PCT



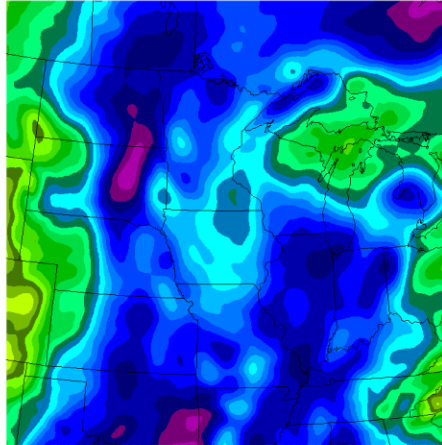
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100831/00000000 WRF SFC TOTAL PRECIPITABLE WTR (MM) WITH PL BNDRY LYR >=70 (BROAD), >=90 (TIGHT), >=99 (DOTS) PCT



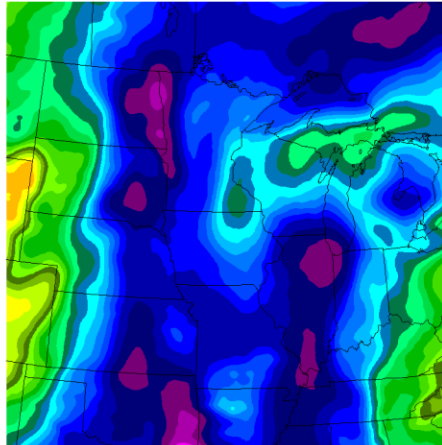
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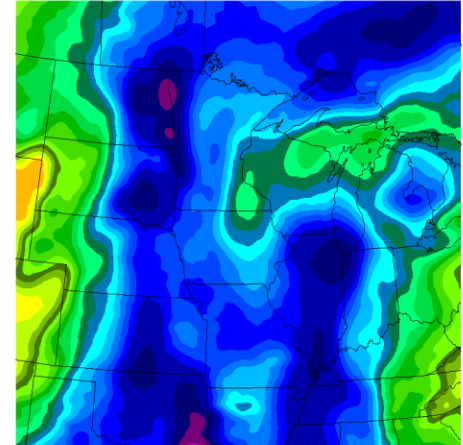
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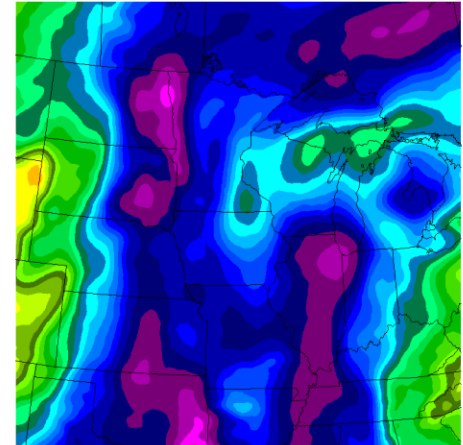
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GFS Initial Conditions with 90% of Original Relative Humidity at and below 800 hPa

GFS Initial Conditions with 90% of Original Relative Humidity between 400 and 750 hPa

GFS Initial Conditions with 90% of Original Relative Humidity between 100 and 350 hPa

Comparison of SBCAPE, Deep-Layer Wind Shear

Initialized: 31 August 2010, 00 UTC

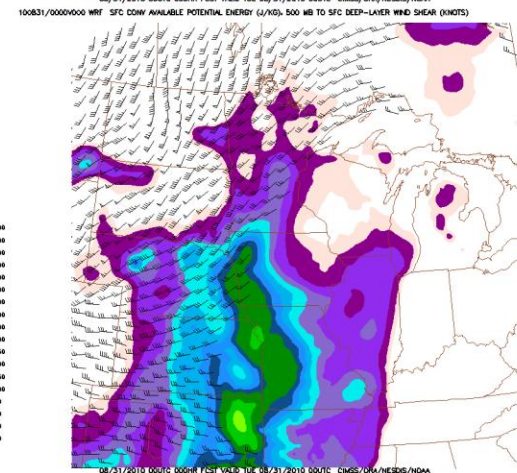
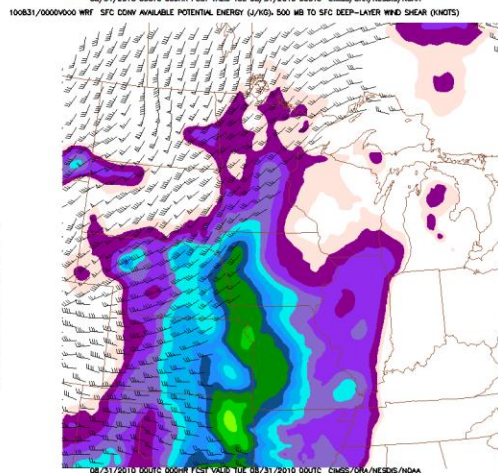
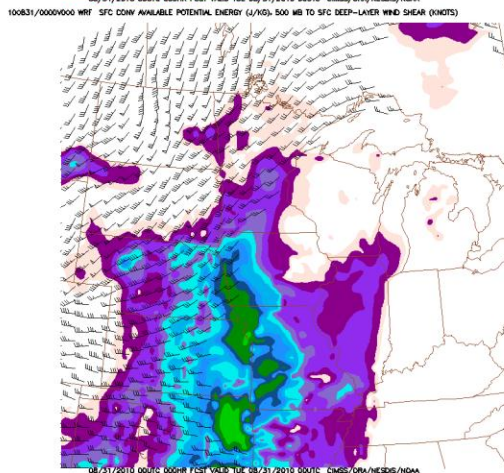
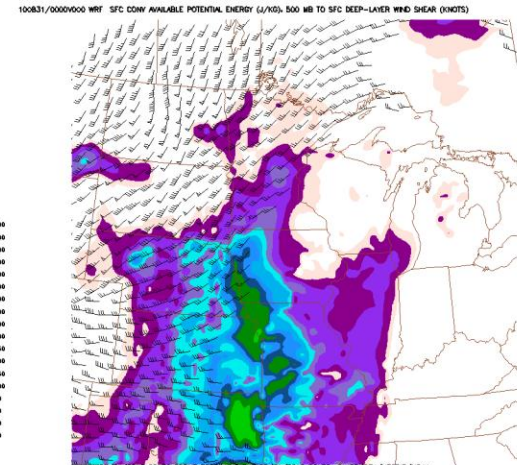
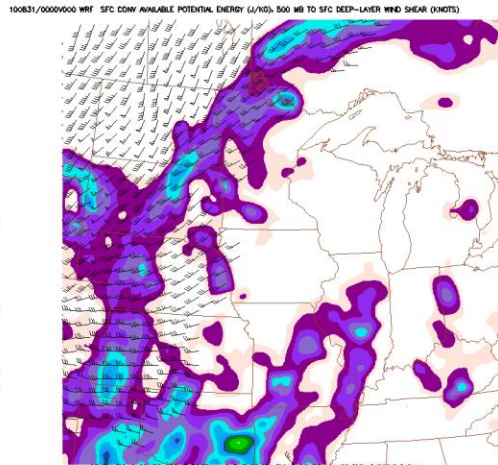
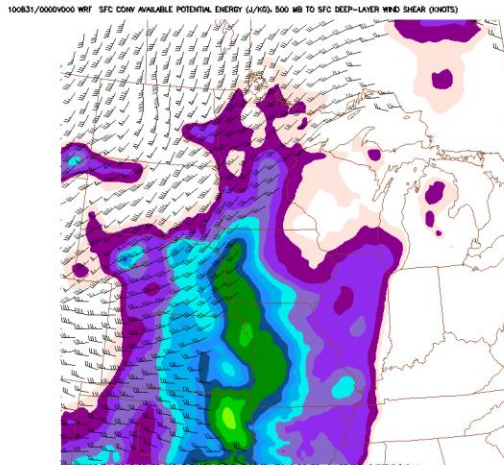
Interval: 3 hourly

Duration: 36 hours

GFS Initial Conditions

CRAS Initial Conditions

GFS Initial Conditions with 90% of Original RH



GFS Initial Conditions with 90% of Original Relative Humidity at and below 800 hPa

GFS Initial Conditions with 90% of Original Relative Humidity between 400 and 750 hPa

GFS Initial Conditions with 90% of Original Relative Humidity between 100 and 350 hPa

Comparison of Simulated Radar Reflectivity

Initialized: 31 August 2010, 00 UTC

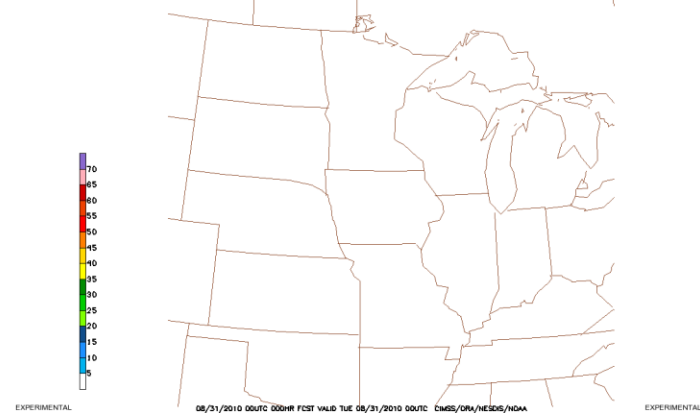
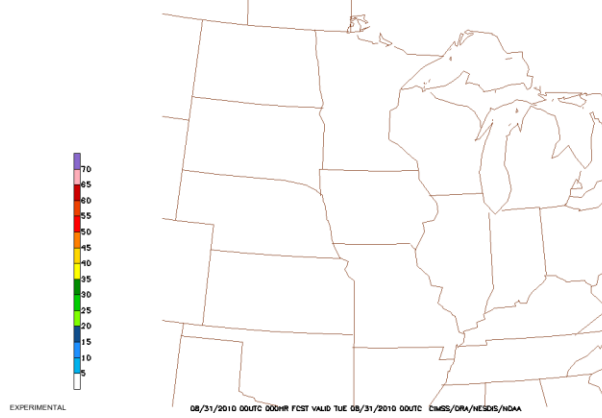
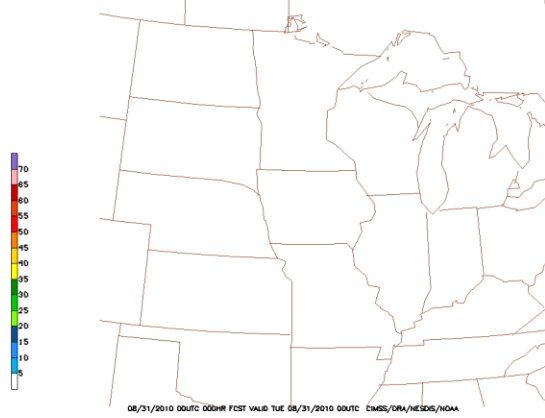
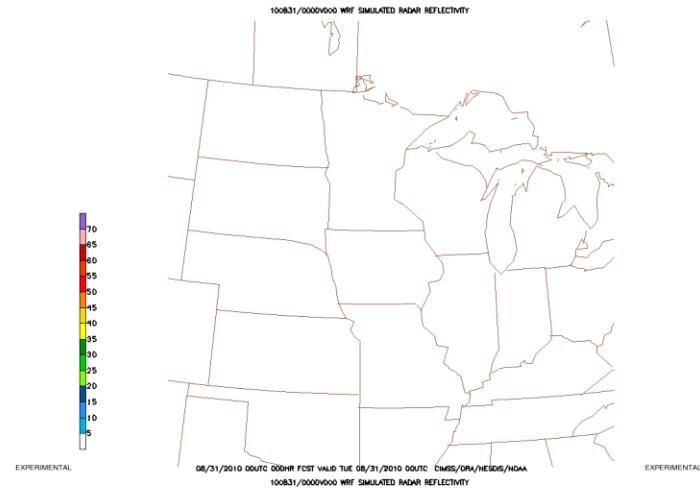
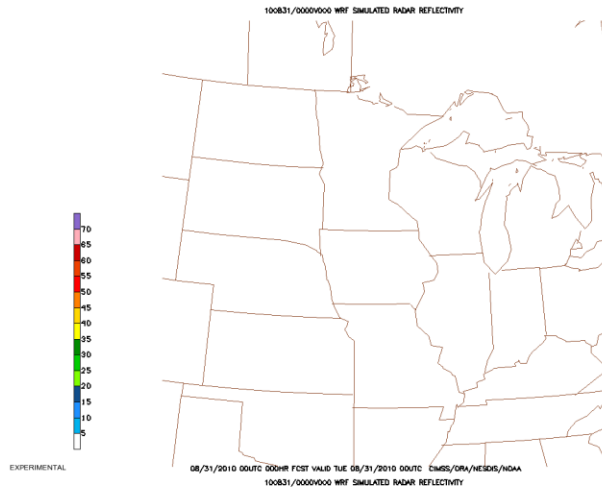
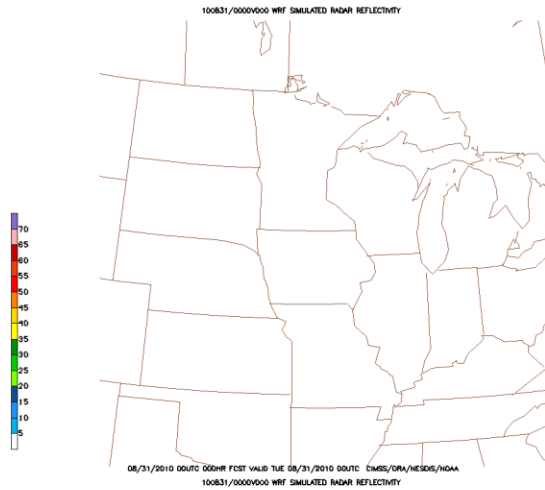
Interval: 1 hourly

Duration: 36 hours

GFS Initial Conditions

CRAS Initial Conditions

GFS Initial Conditions with 90% of Original RH



GFS Initial Conditions with 90% of Original Relative Humidity at and below 800 hPa

GFS Initial Conditions with 90% of Original Relative Humidity between 400 and 750 hPa

GFS Initial Conditions with 90% of Original Relative Humidity between 100 and 350 hPa

Comparison of 36-hour Accumulated Precipitation

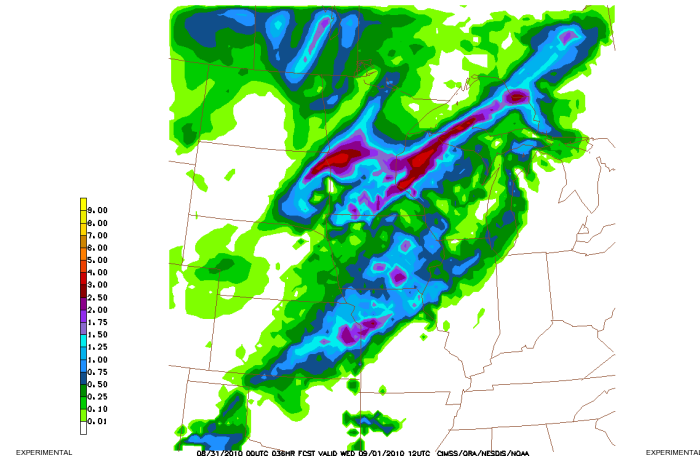
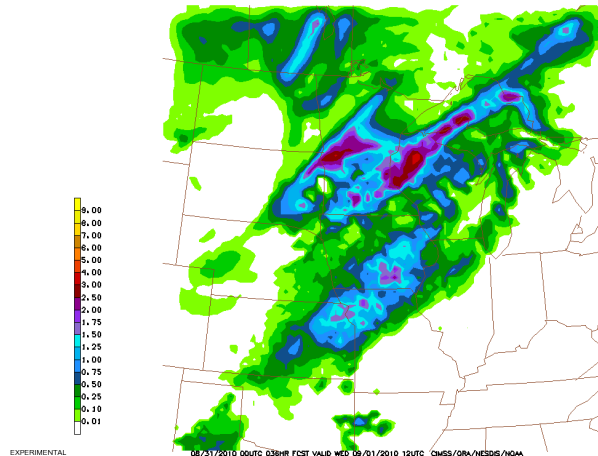
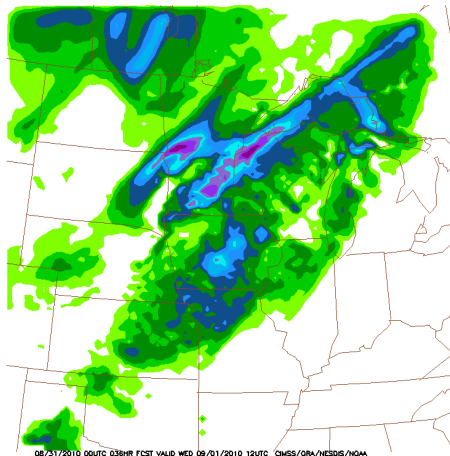
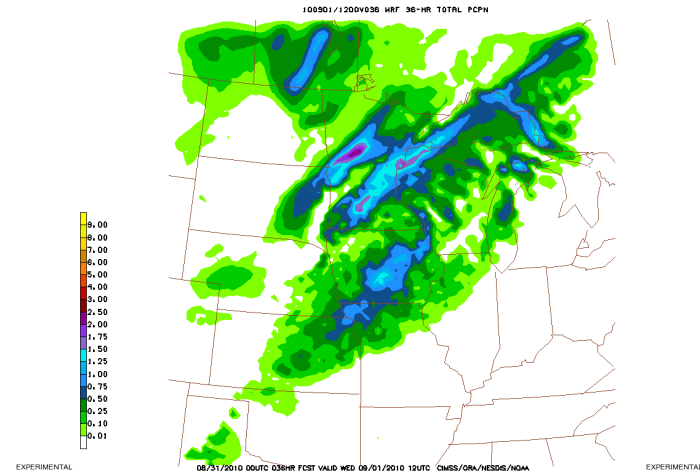
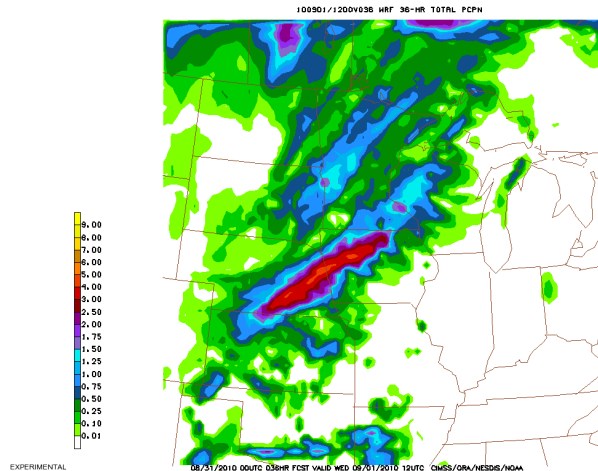
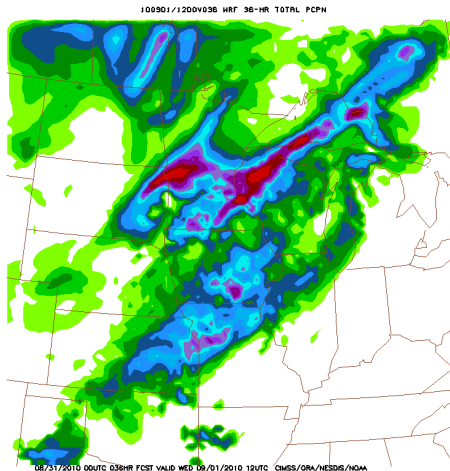
Initialized: 31 August 2010, 00 UTC

Forecast valid: 1 September 2010, 12 UTC

GFS Initial Conditions

CRAS Initial Conditions

GFS Initial Conditions with 90% of Original RH



GFS Initial Conditions with 90% of Original Relative Humidity at and below 800 hPa

GFS Initial Conditions with 90% of Original Relative Humidity between 400 and 750 hPa

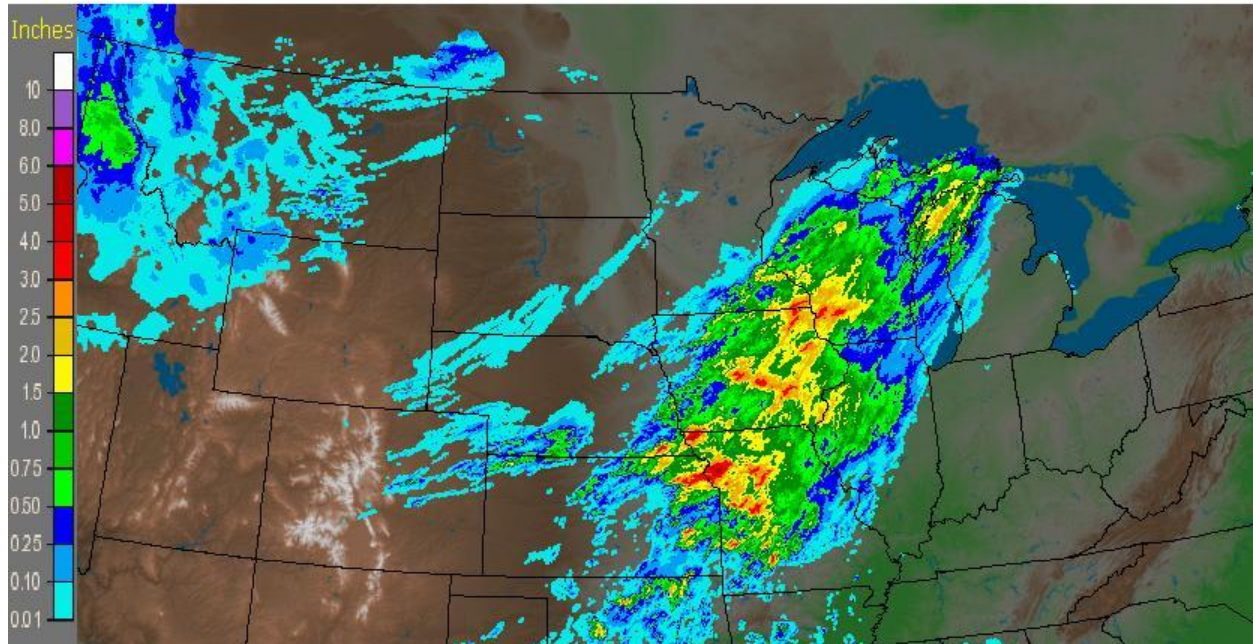
GFS Initial Conditions with 90% of Original Relative Humidity between 100 and 350 hPa

Precipitation

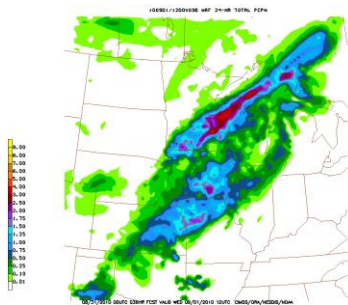
- * Precipitation output from NWP models is traditionally spatially distributed and lacking in sharp, reliable definition, even in some high resolution models
- * Precipitation often falls as the result of parameterizations which keep the model numerically stable, or for the wrong reasons (not due to local moisture convergence)

NWS Central Region: 9/1/2010 1-Day Observed Precipitation
Valid at 9/1/2010 1200 UTC- Created 9/3/10 21:38 UTC

Source: NWS/AHPS



24-hour
accum
precip
prior to
1200 UTC
on 1 Sept



GFS Initial Conditions

24-hour
accum
precip
prior to
1200 UTC
on 1 Sept



CRAS Initial Conditions

24-hour
accum
precip
prior to
1200 UTC
on 1 Sept



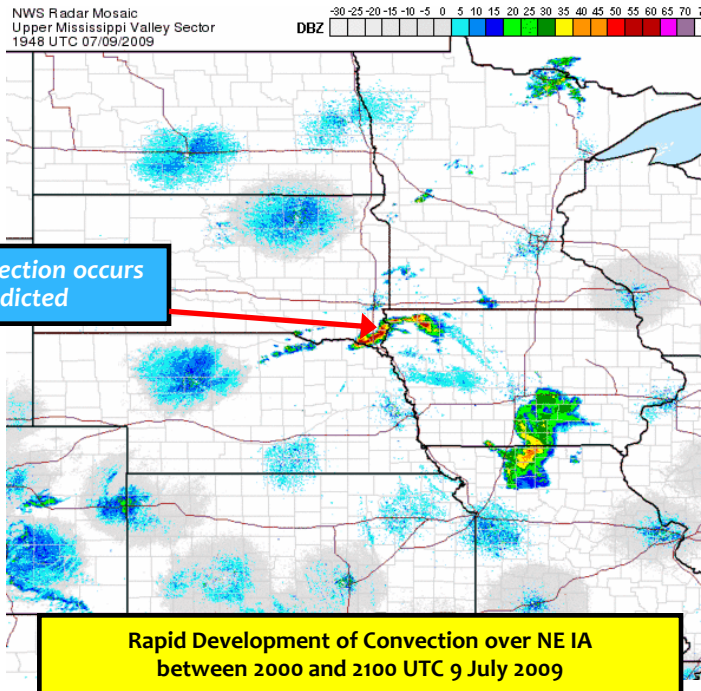
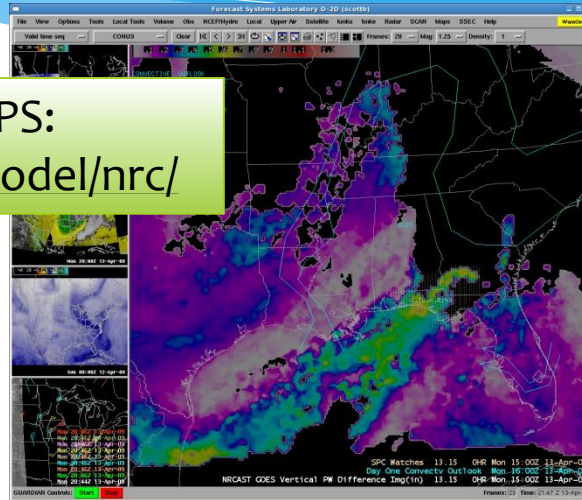
GFS Initial Conditions with 90% of Original RH

Nearcasting Equivalent Potential Temperature Lapse Rate

Available online and for AWIPS:
<http://cimss.ssec.wisc.edu/model/nrc/>

P2.15 GOES-R Proving Ground: The CIMSS/NWS Sullivan 2010 Testbed

Jeffrey P. Craven and Marcia R. Cnonce, NOAA/National Weather Service, Dousman, WI; Wayne F. Feltz and Jordan J. Gerth, University of Wisconsin/CIMSS, Madison, WI



Negative vertical Theta-E Differences predict complete convective instability by 2100 UTC.

Intense convection occurs as predicted

6-hour NearCast for 2100 UTC
Low to Mid level Theta-E Differences

Rapid Development of Convection over NE IA
between 2000 and 2100 UTC 9 July 2009

CIMSS has developed a “near-casting” model that uses retrieved parameters from the GOES sounder to predict severe weather up to **6 hours in advance!**

Parameters such as precipitable water, or equivalent potential temperature are projected forward in time on Lagrangian trajectories at multiple levels. Forcing is provided using a simple balance equation. These “trajectory observations”, along with those from the previous 6 hours, are mapped onto a grid and processed for visualization. The lapse rate of equivalent potential temperature (thetaE) which measures the total moist energy of the column has proven to be a useful indicator of severe weather potential.

Robert Aune (NOAA/NESDIS) and Ralph Petersen (CIMSS)

Convective Parameterizations

- * NWP models typically ‘limit’ the amount of convective instability that can be present.
- * The role of the convective parameterizations is not to produce realistic thunderstorms, but rather to remove excessive thermal instabilities and “vertically misplaced” latent heating which could adversely affect the model during an extended prediction.
- * Once the convective parameterization has been active for a period of time, even if incorrectly, boundary layer flows produced as a result of the parameterization often become dominant in the area around the storms, leading to further forecast errors.

Future Directions

- * Expand study to compare different WRF (ARW) convective parameterizations with variable moisture concentrations.
- * Use Model Evaluation Tools (MET) v3 to compute additional statistics and quantify the result.
- * Generate CRAS-WRF ensembles of moisture, using the uncertainty in the satellite retrievals as the limit to the perturbation extent.
- * Incorporate GOES Sounder data assimilation into the WRF EMS and make CRAS initial conditions available to “plug and play”.
- * Support NWS local modeling efforts.
- * Continue sky cover and simulated radiances work with WRF.

Summary

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Jordan.Gerth@noaa.gov

Questions? Comments?

- * Basic principles of NWP are restricting the development and usability of high-resolution weather forecast models and the output.
- * Required are initial condition grids at the model resolution in order to increase the accuracy of the output compared to a simulation run with a coarser grid spacing and input data set.
- * Incorporating satellite data into the initial and boundary conditions is essential to meeting this requirement.
- * While satellite data is available for effectively estimating standard atmospheric parameters in the middle and upper troposphere, atmospheric information from the boundary layer and lower troposphere is difficult to ascertain from satellite data, though techniques to extract more information over what is presently available are under development.

There are no plans to put a hyperspectral sounder, an instrument which could better quantify tropospheric moisture, into operational geostationary orbit over the United States until at least 2028 (GOES-U).

